

Your Dependable Securities House

DSL Building (3rd & 4th Floor), 1/C, DIT Avenue
Dainik Bangla, Motijheel C/A, Dhaka-1000.
Phone : 47110035, 47116583, 9577564, Fax: +88-02-9514550.
E-mail: info@shahjalalbanksecurities.com.bd
Web: www.shahjalalbanksecurities.com.bd

Corporate TREC Holder of

Dhaka Stock Exchange PLC
&
Chittagong Stock Exchange PLC

ACCOUNT OPENING FILE

শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ !
জেনে ও বুঝে বিনিয়োগ করুন

Name of the
Account Holder :

Investor Code :

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BO ID. No :

1	2	0	4	0	9	0	0							
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Mailing Address :

Mobile No. :

Required Documents for BO A/C Opening

Individual

- ✓ 3 Copies color passport size photograph (Lab Print) with Signature of A/C holder & Introducer
- ✓ Copy of National ID card (with signature)
- ✓ 2 Copy color photograph of Nominee (Lab Print) attested by account holder.
- ✓ 1 Copy color photograph of Power of attorney (Notary required) Attested by account holder.
- ✓ In case of the Nominee is a minor, detail of legal guardians. Guardians NID with signed photo 1 copy Attested by account holder.
- ✓ Photocopy of Cheque Leaf. With A/C holder Signature **(Mandatory)**
- ✓ TIN Certificate (if Any)
- ✓ Nominee NID
- ✓ Acknowledgement (if link account)

Company Corporate):

- ✓ 2 copies of passport size color Print photo of Authorized Signatory attested by Introducer
- ✓ 1 copies of passport size color Print photo of POA (optional) attested by Authorized Person.
- ✓ Copy of Certificate of Incorporation (Attested by MD/CS)
- ✓ Copy of Memorandum of Association & Articles of Association (Attested by MD/CS)
- ✓ Board resolution (resolved a/c opening with SJIBSL & Authorized signatory of the account)
- ✓ Bank statement / certificate of company Bank account
- ✓ Photocopy of Cheque Leaf.
- ✓ TIN Certificate, Contact Person NID & Photo 2 copies

Joint

- ✓ 3 copies color passport size photograph (Lab Print) for both 1st and 2nd Account holders.
- ✓ Copy of National ID card (with signature) (Mandatory) Bank Certificate (for both 1st and 2nd Account holders.)
- ✓ 1 copy color photograph of Nominee attested by account holders.
- ✓ 1 copy color photograph of Power of Attorney (Notary required) attested by account holder.
- ✓ Bank A/C no.
- ✓ Photocopy of Cheque Leaf. with A/C holder Signature (Mandatory)
- ✓ TIN Certificate

Company (Sole Proprietorship):

- ✓ 2 copies of color passport size photographs of the owner.
- ✓ Valid Trade License.
- ✓ Bank Certificate/ Statement in company name.
- ✓ Copy of owner's National ID card.
- ✓ Nominee.
- ✓ Photocopy of Cheque Leaf. with A/C holder Signature **(Mandatory)**

NRB

- ✓ 2 copies color passport size photograph
- ✓ 1 copy color photograph of Nominee attested by account holder
- ✓ 1 copy color photograph of Power of Attorney (Notary required) attested by account holder (Mandatory)
- ✓ Copy of valid passport.
- ✓ Source of Income proof.
- ✓ Copy of National ID card or Local Bank A/C Certificate
- ✓ Foreign Currency Bank A/C (Dollar, Euro & Pound).
- ✓ Photocopy of Cheque Leaf. with A/C holder Signature (Mandatory).
- ✓ Local Bank A/C.
- ✓ TIN Certificate

- **A unique registered mobile number & a valid email address.**
- **BO A/C Form should be filled up in Capital Letter**
- **Is mandatory for each account**

Fees:

- * **Annual BO Maintenance fee BDT- 450/=**
- * **BO Account Opening Fee Tk-500/=**
- * **BO Account Closing Fee Tk-1000/=**

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Corporate TREC Holders of

Dhaka Stock Exchange Ltd. TREC # 233

Chittagong Stock Exchange Ltd. TREC # 134

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Web: www.shahjalalbanksecurities.com.bd

Photograph of
Customer with
attestation of the
Introducer

CUSTOMER ACCOUNT OPENING FORM (For Individual Customer/Applicant: Single Account)

Customer Account No.

Date:

[To be filled by the Applicant]

BO Account No.: **Account Type:** Cash ☐ Margin ☐

Citizenship status (Please ✓ tick mark): Resident Bangladeshi/Non-Resident

Bangladeshi/Foreigner/Other (Please Specify).....

Name of the 1st Applicant/Customer :

Profession :

TIN (if any) :

Father's /Husband Name. :

Mother's Name :

Spouse's Name :

Present/Contact Address :

Permanent Address :

Date of Birth: **Sex:** Male ☐ Female ☐ Other ☐ **Nationality:**

National ID/Passport/Birth Certificate/Driving License Number: **Phone No.:**

Mobile No: **E-mail Address:**

Whether the applicant is Officer or Sponsor/Director of any Broker/Dealer/ Exchange/ Depository/Clearing & Settlement Company/Listed Company? Yes ☐ **No** ☐

If yes, please mention the name & address of the Broker/Dealer/Exchange/ Depository/Clearing & Settlement Company/Listed Company with designation of the said officer or sponsor or director:

Corporate TREC Holders of
Dhaka Stock Exchange Ltd. TREC # 233
Chittagong Stock Exchange Ltd. TREC # 134

Photograph (s)
 of Customer (s)
 with attestation
 of the Introducer

CUSTOMER ACCOUNT OPENING FORM (Joint Holder)

Customer Account No. Date:

[To be filled by the Applicant]

BO Account No.: **Account Type:** Cash ☐ Margin ☐

Citizenship status (Please ✓ tick mark): Resident Bangladeshi/Non-Resident

Bangladeshi/Foreigner/Other (Please Specify).....

Name of the 2nd Applicant/Customer :

Profession :

TIN (if any) :

Father's /Husband Name. :

Mother's Name :

Spouse's Name :

Present/Contact Address :

Permanent Address :

Date of Birth:.....Sex: Male ☐ Female ☐ Other ☐ Nationality.....

National ID/Passport/Birth Certificate/Driving License Number.....Phone No.:.....

Mobile No:..... E-mail Address.....

Whether the applicant is Officer or Sponsor/Director of any Broker/Dealer/ Exchange/ Depository/Clearing & Settlement Company/Listed Company? Yes ☐ No ☐

If yes, please mention the name & address of the Broker/Dealer/Exchange/ Depository/Clearing & Settlement Company/Listed Company with designation of the said officer or sponsor or director:.....

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Corporate TREC Holders of
Dhaka Stock Exchange Ltd. TREC # 233
Chittagong Stock Exchange Ltd. TREC # 134

Photograph (s)
 of Customer (s)
 with attestation
 of the Introducer

CUSTOMER ACCOUNT OPENING FORM (For the Company or Institutional Account)

Customer Account No. Date:

[To be filled by the Applicant]

BO Account No.: Account Type: Cash ☐ Margin ☐

Citizenship status (Please ✓ tick mark): Resident Bangladeshi/Non-Resident

Bangladeshi/Foreigner/Other (Please Specify).....

Type of the Company/Institution: (1) Private Ltd. (2) Public Ltd. (3) NGO (4) Others (Please Specify)

Name of the Company/Institution.

Contact Person Name :

Corporate/Registered Address :

Incorporation Certificate No. : Date :

Business Commencement Certificate No.(if any):..... Date :

Trade License No : Date :

TIN No..... BIN No.(if any):.....

VAT Registration Certificate No.:.....

Phone No.: Mobile No.: E-mail Address.:

Details of Managing Director/CEO:

Name of the Managing Director/CEO.

Father's/Husband Name :

Mother's Name :

Spouse's Name :

Present/Contact Address :

Permanent Address :

Date of Birth:..... Sex: Male ☐ Female ☐ Others ☐ Nationality.....

National ID/Passport/Birth Certificate/Driving License Number. :

Phone No.:..... Mobile No.:..... E-mail Address:.....

Whether the applicant is Officer or Sponsor/Director of any Broker/Dealer/ Exchanger Depository/Clearing & Settlement Company Listed Company? Yes..... No.

If yes, please mention the name & address of the Broker/Dealer/Exchange/ Depository Clearing & Settlement Company/Listed Company with designation of the said officer or sponsor or director.....

Have any other Customer Account (Single/joint) with any Stock Broker (s) ? yes..... No..... If yes, give details:

Client Code No.	BO Account No.	Name of the Broker

 Signature of the First
 Applicant with date

 Signature of the Second
 Applicant with date

 Signature of the Authorized
 Person (if any) with date

 Signature of MD/CEO
 with date

 Signature of the
 Nominee with date

 Signature of the
 Guardian of the Nominee
 (if any) with date

Processed by:

Name:.....

Designation:.....

Signature:.....

Date:.....

Checked by:

Name:.....

Designation:.....

Signature:.....

Date:.....

Approved by:

Name:.....

Designation:.....

Signature:.....

Date:.....

N.B.: Stock broker may require additional information, if necessary.

Note: In case of other type of applicant [if the applicant is a director/sponsor of a listed company or the applicant is a politically exposed person (PEP) or an Influential person (IP) or a Member of Senior Management of an International Organization please use/fill-up individual/joint application form if the applicant is individual/joint person(s), but if the type of applicant(s) is a legal body corporate or institution, please use/fill up the company's or institutional application form.

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Chittagong Stock Exchange Ltd. TREC # 134

KYC Profile Form

(under FORM-II)

Name of the Account Holder(s).....
 BO Account Number
 Customer Account Number
 Occupation (with Joint Account Holder's, if any):
 Name of MD/CEO (in case of Company or Institution or Farm)
 Nature of Business (in case of Company or Institution or Farm):
 Describe in detailed as to whether the account holder (the individual/ institution/company) is a director/sponsor of a listed company or he/it is a politically exposed person (PEP)/Influential Person/Member of Senior Management of an International Organization.
 Source of Fund:
 Approximate amount of Daily/Monthly/Annual transactions:
 Describe in detailed, how source of fund was verified:
 Details of Information/Documents of the Account Holder(s):

SI No.	Nature of Documents Number	Number	Photocopy Obtained		Applicable for
			Yes	No	
1	National ID				Individual (if any)
2	Passport				Individual (if any) or NRB or NR or Foreigner
3	Visa/Residential Permit and Work Permit				NRB or NR or Foreigner
4	Birth Certificate				Individual (if any)
5	Driving License				Individual (if any)
6	Bank Account with supporting document				Individual or NRB or NR or Foreigner
7	NID/Birth Certificate/ Passport				Nominee
8	NID/Birth Certificate/ Passport				Authorized Person
9	Registration Certificate				Firm/Company/Institution
10	Date of Incorporation				Firm/Company/Institution
11	Memorandum of Association				Company/Institution
12	Articles of Association				Company/Institution
13	Trade License				Firm/Company/Institution
14	TIN				Firm/Company/Institution
15	VAT Registration				Firm/Company/Institution
16	Particular of Directors				Company/Institution
Comments (if any):					

Signature of Account Opening Officer
with date & Seal

Signature of Authorized Officer/MD/CEO
with date & Seal

When was the information related to the Account last reviewed and updated? If any, details with comments:.....

Signature of the Officer performing review
and update, with date & Seal

**Corporate TREC Holders of
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(TERMS AND CONDITIONS FOR OPENING A CUSTOMER ACCOUNT)

DECLARATION

I/we the undersigned whose information is given in the Customer Application Form hereby request to be registered as a client of Shahjalal Islami Bank Securities Ltd. (SJIBSL) and to open brokerage account in my/our/firm/company name. I/we further agree and confirm that the account hereby requested to be opened by me/us shall be held and governed by the terms and conditions of this agreement as provided hereinafter any as may be modified from time to time by SJIBSL.

TERMS AND CONDITIONS

Instructions: A written instruction shall be given to SJIBSL from time to time to purchase and/or sell Investments (which shall mean and include stocks, debentures, mutual funds and private placement) on behalf of the account holder. On receipt of such instructions along with a cash deposit on delivery of shares, SJIBSL shall, so far as SJIBSL considers it reasonably practicable, purchase and/or sell Investments in accordance with those instructions, provided always that (i) any such dealings do not contravene any applicable laws of regulations, (ii) SJIBSL shall have an absolute discretion to accept or reject purchase/sell instruction and (iii) account holder's instruction shall include the following details :

- The Name of the investment
- Quantity
- Price with notification of limit or discretion
- The duration of the order
- The nature of the lot (i.e. scrip size) for sale of the desired format for purchase order (see Note).
- The order should specify completion formats of:
- All of partial fill with minimum trade value on number of shares.
- Mode of execution i.e. DVP (delivery versus payment)/NON DVP (Non Delivery versus payment)

(Note: The number of shares constituting "market lot" may change. Sellers must include the exact format of their holding. This has a direct impact on market pricing given the predominantly retail nature of the market Trades may fail where non-agreed lot sizes are delivered.

Joint Account: If this is a joint account, unless the account holders notify SJIBSL otherwise and provide such documentation, as SJIBSL may require, the brokerage account (s) shall be held by the account holders jointly with rights of survivorship (Payable to either of the survivor). Each joint tenant irrevocably appoints the other as attorney to take all action on his or her behalf and to represent him or her in all respects in connection with this Agreement. SJIBSL shall be fully protected in acting but shall not be required to act upon the instructions of either of the holder. Each of the account holders shall be liable, jointly and severally, for any amounts due to SJIBSL pursuant to this Agreement, whether incurred by either or both of the account holders.

Risk: The account holder understand (s) that the stock market is rapidly changing market and that there is an inherent risk in incurring Loss in share dealings.

Limit orders: While SJIBSL will endeavor to purchase or sell the Investment within the limits of the prices that may be notified by the account holder. SJIBSL does not guarantee or assure that the transactions will be materialized within such notified limits. SJIBSL will always endeavor to obtain the best price.

Dhaka and Chittagong Stock Exchange Rules: All transactions duly concluded through and recognized by the Dhaka Stock Exchange Limited (hereafter DSE) and Chittagong Stock Exchange Limited (hereafter CSE) are governed by the respective Rules of DSE and CSE relating to trading and settlement in particular and will be binding on both parties concerned.

Payment and Disclosure: SJIBSL shall not be obliged to make any payment on behalf of the account holder. SJIBSL may disclose information regarding the account holder of his/her dealings in relation to this agreement to any department of any government of public body upon request, whether or not such request is in fact legally enforceable, and SJIBSL will not be liable in any way to account holder for so doing.

Cancellation Provisions: SJIBSL is authorized, in its discretion, Should the undersigned die or should SJIBSL for any reason whatever deem it necessary for its protection, without notice, to cancel any outstanding of dears in order to close out the accounts of the account holder, in whole or in part, or to close out any commitment made on behalf of the accounts of the account holder.

Indemnity: In the event of a default, omission or act committed by SJIBSL as a broker/member of the DSE of as broker/member of the CSE, the account holder shall be indemnified if and only as provided by the rules and Regulations of the DSE/CSE.

Confirmations and Statements: SJIBSL shall use its best endeavors to provide the account holder with (a) written confirmation of each transaction it has effected on instructions, and (b) contract notes (in such form as SJIBSL shall determine) setting forth (i) Details of the trade date, value date, settlement date, quantity, price, commission rate and DSE Howla number or CSE equivalent provided also that the account holder does hereby agree and undertake to confirm in writing beforehand of all my instructions.

Fees and expenses: The account holder will pay a brokerage commission of (in word.....) and any other related expenses and charges that may from time to time be applicable. Every transaction concluded through and recognized by the DSE or CSE is subject to transaction levies or other fees imposed by the DSE and CSE. The account holder understands that the rate of commission may be changed from time to time.

Set-off: SJIBSL shall be entitled to, in respect of all commissions, costs, charges of expenses, set off from any money from time to time held by SJIBSL for the account holder and if such money are insufficient for the purpose, to sell any Investments held by SJIBSL of any or its agents on behalf of the account holder.

Termination: This agreement will stand terminate 7 days prior, written instruction of the Account Holder to the SJIBSL for Termination this agreement or on the written instruction of the SJIBSL to the Account Holder for termination of this Agreement. Termination shall not absolve the parties from complete-ting account and adjusting any outstanding dues.

Assignment: The benefit and Burden of this Agreement is capable of assignment by both the account holder and SJIBSL without the consent of the other but the notice of assignment must be given to the other in writing.

Force Majeur: SJIBSL shall not be liable for any losses, damages, expenses, costs of otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike, national disaster or any other event or circumstance beyond its control.

Forged Shares: SJIBSL shall not be liable or responsible for any shares that are found to be forged, However, SJIBSL will make every attempt to replace the forged shares from the seller.

Law: The terms and conditions contained herein shall be governed by and construed in accordance with the laws of Bangladesh.

Notices:

(a) all notices, instructions of other communications shall be given in English and in wiring of orally (facsimile, Telex, Telegram, cable or letter) and shall be sent to SJIBSL and the account holder at the address, fax and/telex number shown herein or at such other address as may be communicated by the parties hereto in writing.

(b) Proof of delivery of dispatch shall be:

(i) in case of a letter: dispatch of the letter duly stamped and addressed

(ii) in case of facsimile, telex, telegram or cable on the day of dispatch.

(c) All oral notices, instructions or other communication should be confirmed in writing.

Definitions: Words defined herein save where a contrary meaning appears of such meaning is inconsistent with the context shall have this same defined meaning wherever used.

Sums due: For all purposes, including any legal proceedings, a certificate by any of SJIBSL officers confirming the money and/or liabilities for the time being due and/or liabilities for the time being due and/or incurred to by the account holder shall be conclusive evidence thereof against him/her.

I/we have read all the terms and conditions contained herein above, and having agreed with such terms and conditions.

I/we put my/our/Firm/Company seal and signature on this the..... day of 202

Signature of the Account Holder

Signature of the joint Account Holder

Witnesses: 1. Signature:

Name:

Address:

.....

Witnesses: 2. Signature:

Name:

Address:

.....

Witnesses: 2. Signature:

Name:

Address:

.....

Witnesses: 2. Signature:

Name:

Address:

.....

FOR OFFICE USE ONLY :

Checked by:

Approved by:

Signature:..... Signature:.....

Name: Name:

[Bve Law 7.3.3 (b)]

Application No.:.....

Date :

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BO Category:	Regular <input type="checkbox"/>	Omnibus <input type="checkbox"/>	Clearing <input type="checkbox"/>	BO Type:	Individual <input type="checkbox"/>	Company <input type="checkbox"/>	Joint Holder <input type="checkbox"/>
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CDBL Participant ID

BO ID

Date of Account Opened (DDMMYYYYY)

4	0	9	0	0	1	2	0	4	0	9	0	0							
---	---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--

1. First Applicant:

Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms /Dr, abbreviate Only if over 30 characters)

Title i.e. Mr./Mrs./Ms./Dr.

[illegible]

(In case of a Company/Firm/Statutory Body) name of Contact Person

In Case of Individual Male ☐ Female ☐ Occupation (30 Characters):

Father's/ Husband's Name:..... Mother's Name:

2. Contact Details:

Address:

City:..... Post Code:State/Division:..... Country..... Telephone:.....

Mobile Phone: Fax: E-mail:

3. Passport Details:

Passport No.: Issue Place: Issue Date: Expiry Date:

4. Bank Details:

Routing Number Bank Account Number

Bank Name..... Branch Name District Name.....

Bank Identifier Code (BIC)SWIFT Code..... International Bank A/C No. (IBAN).....

Electronic Dividend Credit: Yes ☐ No ☐ Tax Exemption if any: Yes ☐ No ☐ Tin/Tax ID.....

5. Others Information:

Residency: Resident ☐ Non Resident ☐ Nationality:..... Date of Birth

D	D	M	M	Y	Y	Y	Y

Statement Cycle Code Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Other (Please Specify):

Internal A/C No (To be filled in by CDBL Participant):

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 Dare of Registration (DDMMYYYY)

In Case of Company: Registration No:

6. Joint Applicant (Second Account Holder):

Name in full (UP to 99 Characters):

Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms /Dr. abbreviate Only if over 30 characters)

Title i.e. Mr./Mrs./Ms./Dr.

[illegible]

Father's/ Husband's Name:..... Mother's Name:

Address:

7. Account Link Request:

Would you like to create a link to your existing Depository Account? Yes ☐ No ☐

If yes then please Provide the Depository BO Account Code (8 Digits):

8. Nominees/Heirs:

If account holder (s) wish to nominate Person (s) who will be entitled to receive securities outstanding in the account in the event in the death of the sole account holder/all the joint account holders, a separate nomination Form-23 must be filled up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided.

9. Power of Attorney (POA):

If account holder (s) wish to give a Power of Attorney(POA) to someone to operate the account, a separate Form -20 must be filled up and signed by all account holders giving the name, contact details etc. of the POA holder and a POA document lodged with the form.

10. To be filled in by the stock Broker/stock Exchange in case the application is for opening a Clearing Account:

Exchange Name DSE ☒ Trading ID 10 CSE ☒ Trading ID 11

11. Photograph:

Please paste recent Passport size Photograph of 1 st Applicant of Authorized Signatory in case of Limited Co. Only	Please paste recent Passport size Photograph of 2 nd Applicant of Authorized Signatory in case of Limited Co. Only	Please paste recent Passport size Photograph of Authorized Signatory in case of Limited Co. Only
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1st Applicant of Authorized Signatory in case of Ltd Co.

2nd Applicant of Authorized Signatory in case of Ltd Co.

Authorized Signatory in case of Ltd Co.

12. Standing Instruction:

I/we authorize you to receive facsimail (fax) transfer instruction for delivery. Yes ☐ No ☐

13. DECLARATION:

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such account. I/we also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of marking such application. I/we further agree that any false/misleading information given by me/us of suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of applicants/Authorized Signatories in case of Ltd. Co.	Signature with date
1st Applicants		
2nd Applicants		
3rd Signatory (Ltd Co. only)		

14. Special Instructions on operating of Joint Account:

☐ Either of survivor
 ☐ Any one can operate
 ☐ Any two will operate jointly
 ☐ Account will be operated by with any one of the others

15. Introduction:

Introduction by an existing account holder of Shahjalal Islami Bank Securities Limited	
I confirm the identity, occupation and address of the applicant(s)	
.....BO ID	Introducer Name
(Signature of Introducer)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>



BO Account Nomination Form

Please complete details in CAPTAL letters. **Please fill all names correctly.** All Communications shall be sent to the correspondence address of only the First Named Account Holder as specified in BO Account opening Form-02

Application No:..... Date:

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[illegible]

I/we nominate the following person (s) who is/are entitled to receive securities outstanding in my/our account in the event of the death of the sole holder/all the joint holders.

1. Nominee/Heirs Details:

[illegible]

Name in Full.....

Title i.e. Mr./Mrs.

[illegible]

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Relationship with A/C Holder..... Percentage (%)

Address:

City:.....Post Code:State/Division:.....Country:.....Telephone:.....

Mobile Phone: Fax: E-mail:

Passport No: Issue Place: Issue Date: Expiry Date:

Residency: Resident ☐ Non Resident ☐ **Nationality:** **Date of Birth**

D	D	M	M	Y	Y	Y	Y

Name in Full:

[illegible]

--	--	--	--	--

Relationship with Nominee Date of Birth of Minor

D	D	M	Y	Y	Y

 Maturity Date of Minor

D	D	M	M	Y	Y	Y

Address:

City.....Post Code:.....State/Division:.....Country.....Telephone.....

Mobile Phone:.....Fax:.....E-mail:.....

Passport No: Issue Place: Issue Date: Expiry Date:

Residency: Resident ☐ Non Resident ☐ Nationality: Date of Birth

Please paste recent
Passport size
Photograph

Please paste recent
Passport size
Photograph

Nominee/Heir 1

Please paste recent
Passport size
Photograph

Please paste recent
Passport size
Photograph

Nominee/Heir 2

Please paste recent
Passport size
Photograph

Please paste recent
Passport size
Photograph

Guardian 1

Please paste recent
Passport size
Photograph

Please paste recent
Passport size
Photograph

Guardian 2

	Name	Signature
Nominee/Heir 1		
Guardian 1		
Nominee/Heir 2		
Guardian 2		
First Account Holder		
Second Account Holder		

4. Photograph of Power of Attorney Holder:

	Please paste recent passport size photograph
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(POA Holder)

5. DECLARATION:

The rules and regulations of the Depository and CDBL participant pertaining to an account which are in force now have been read by me/us and I/We have understood the same and I/We agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of Applicants/Authorized signatories in case of Ltd. Co.	Signature with date
POA Holder		
First Applicant		
Second Applicant		
3rd Signatory (Ltd. Co. only)		

Your Dependable Securities House
Corporate TREC Holders of
Dhaka Stock Exchange Ltd. TREC # 233
Chittagong Stock Exchange Ltd. TREC # 134

Declaration Under Foreign Account Tax Compliance Act (FATCA)

(This form must be completed by any individual /entity who wishes to open a bank account)

Please check the relevant box for each of the following questions:

SL No.	√ the following Yes No
1 Are you a U.S. Citizen/ Permanent Resident/ Green Card Holder/ Temporary Resident?	<input type="checkbox"/> <input type="checkbox"/>
2 Do you have U.S. Address (Resident or Correspondence)?	<input type="checkbox"/> <input type="checkbox"/>
3 Do you have U.S. Address like "in care of" or "hold mail" or "P.O. Box Address" etc?	<input type="checkbox"/> <input type="checkbox"/>
4 Do you have a Power of Attorney or Signatory Authority granted to person with U.S. address?	<input type="checkbox"/> <input type="checkbox"/>
5 Do you have U.S. Place of Birth?	<input type="checkbox"/> <input type="checkbox"/>
6 Do you have U.S. Telephone Number?	<input type="checkbox"/> <input type="checkbox"/>
7 Do you have Standing Instructions to transfer funds to account maintained in the U.S. or directions received from a U.S. Person?	<input type="checkbox"/> <input type="checkbox"/>
8 In case of foreign entity where there is substantial U.S. ownership i.e. 10% or more? (This could be financial or non-financial entities in the U.S. or outside the U.S.)	<input type="checkbox"/> <input type="checkbox"/>

Please note that a failure to submit your tax form may force us to turn over (withhold) 30% of any U.S. sourced transactions to/from your account on a monthly basis directly to the IRS and/or closure of your account. This process will continue until such time that we receive your completed W-9 or W-8 form or other documentations to certify your status, as applicable

I/We authorize Shahjalal Islami Bank Securities Ltd. To disclose relevant account and for personal information to the U.S. tax authorities for the purpose of Shahjalal Islami Bank Securities Ltd. Complying with its obligations under the U.S Foreign Account Tax Compliance Act (FATCA). I/We undertake to fully cooperate with Shahjalal Islami Bank Securities Ltd. to ensure that the bank meets its obligations under FATCA in connection with my/our account.

I/We indemnify and hold harmless Shahjalal Islami Bank Ltd. from any loss, action, cost, expense (including, but not limited to sums paid in settlement of claims, reasonable attorneys' consultation/expert fees), other claim, damages or liability which arises or is incurred by Shahjalal Islami Bank Securities Ltd. in discharging its obligations under FATCA and/or as a result of disclosures to the U.S. tax authorities.

Declaration and Signature ঘোষণা ও স্বাক্ষর

I/We, hereby confirm that I/We have read and understood all the terms & conditions related to opening account and agree to abide by the same. I/We solemnly that the information given above is true and correct. I/We shall provide additional information/documents at the request of the Company.

আমি/আমরা এই মর্মে নিশ্চয়তা প্রদান করছি যে, আমি/আমরা হিসাব সংক্রান্ত যাবতীয় নিয়মাবলী/শর্তাবলি পড়েছি ও তা বুঝেছি এবং উক্ত নিয়মাবলী/শর্তাবলি মেনে চলতে বাধ্য থাকব। আমি/আমরা স্বজ্ঞানে ঘোষণা করছি যে, উপরে লিখিত তথ্যাদি সত্য ও নির্ভুল। আমি/আমরা প্রদত্ত তথ্যের অতিরিক্ত সংশ্লিষ্ট যে কোন প্রয়োজনীয় তথ্যাদি/দলিলাদি প্রতিষ্ঠানের চাহিদা মোতাবেক সরবরাহ করব।

1st Applicant signature (১ম আবেদনকারীর স্বাক্ষর)

2nd Applicant signature (২য় আবেদনকারীর স্বাক্ষর)

3rd Applicant signature (৩য় আবেদনকারীর স্বাক্ষর)

For Office Use Only অফিস ব্যবহারের জন্য

A/C Opening Officer's Name, Seal, Signature & Date
হিসাব খোলার সংশ্লিষ্ট কর্মকর্তার নামযুক্ত সীলসহ স্বাক্ষর ও তারিখ

Branch Manager Name, Seal, Signature & Date
শাখা ব্যবস্থাপকের নামযুক্ত সীলসহ স্বাক্ষর ও তারিখ

DSE-Mobile Registration Form

Please fill the form in BLOCK LETTERS / (ইংরেজী বড় অক্ষরে লিখুন)

Serial Number

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Account Holder's Information :

Name :

TREC Holder:

TREC Holder Number :

TREC Holder Code:

Account Details:

Client ID:

Account Type

☐

Single

☐

Joint

☐

Institution

BO ID :

Client Type :

☐

Professional

☐

Individual

☐

Non-Professional

☐

Institution

Domicile :

☐

Local

☐

Foreign (NRB or Foreigner)

Use Type :

☐

Internal

☐

External (Advising, Discretionary Acc. Fund, MF etc.)

Contract Information :

Mobile Number

Is this number registered:

☐

Yes

☐

No

E-mail:

Mobile Application :

☐

DSE-Mobile VIP

☐

DSE-Mobile Trader

☐

DSE-Investor (Desktop)

☐

DSE-Mobile Biz Owner

☐

10 BID and Offer

Application Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Registration Monthly 125 Taka:

☐

Cash

☐

Debit Trading Account

Applicant's Signature

TREC Holder Official
Signature

Approval by TREC
Holder Representative

شاه جلال اسلامی بنک سیکیورٹیز لمیٹید

Shahjalal Islami Bank Securities



শাহজালাল ইসলামী ব্যাংক সিকিউরিটিজ

L I M I T E D

লি মি টে ড

Your Dependable Securities House

DSL Building (3rd & 4th Floor), 1/C, DIT Avenue

Dainik Bangla, Motijheel C/A, Dhaka-1000.

Phone : 47110035, 47116583, 9577564, Fax: +88-02-9514550

E-mail: info@shahjalalbanksecurities.com.bd

Web: www.shahjalalbanksecurities.com.bd

Signature Card

Investor Code NO. :

BO ID :

A/C Holder	Name	Signature
First Holder		
Joint Holder		
Third Signatory (Ltd. Co. Only)		
Authorized Person		
POA Holder (If Notaree Public)		
Nominee-1		
Nominee-2		

In case of Joint Account, the account will be operated: ☐ Jointly ☐ Anyone can operate

Photograph
Attested by
First Holder

Photograph
Attested by
Joint Holder

Photograph
Attested by
Third Signatory

Photograph
Attested by
Authorized
Person

Sign Verify by Branch Manager/ Authority with date

Authorized Officer